



Gangmasters
Licensing Authority

GLA42/11 Triennial Review Actions

16 July 2014

Board Paper Reference – GLA42/11 – Triennial Review Actions

1. Purpose of the Report

- 1.1 To provide awareness of progress and action on the Triennial Review recommendations

2. Recommendation

- 2.1 For information only – as presented to ARC on 22 May 2014 – Annex A.

Report Author: Darryl Dixon

Senior Responsible Officer: Paul Broadbent

PAPER REFERENCE – GLA ARC.0522.9 – TRIENNIAL REVIEW CONCLUSIONS

1. Purpose of the Report

- 1.1 To advise ARC of the Triennial review (TR) recommendations, identifying those where action is, or is not required, and progress where applicable.

2. Recommendation

- 2.1 That ARC approves the GLA assessment on the TR recommendations (Appendix 1), for inclusion in the GLA 2014-15 work programme.

3. Reasons for Recommendation

- 3.1 A number of recommendations have been discharged, and others are in progress; therefore formal approval of approach is sought.

4. Summary of Key Points

- 4.1 The functions of the GLA are still necessary and should continue

The GLA remains the right body for delivering its functions of licensing and enforcement should remain an NDPB

Governance structures and arrangements should be reviewed and revised.

- 4.2 The recommendations and GLA position is set out in Appendix 1.

5. Financial Implications and Budget Provision

- 5.1 None identified.

6. Organisational Risks

- 6.1 ARC approval is sought to provide an audit trail of decisions made, and review of proposed action.

7. Policy Implications and Links to Strategic Priorities

- 7.1 None applicable

8. Details of Consultation/EQIA

- 8.1 None applicable

9. Background Papers and Relevant Published Documents

9.1 Triennial Review report.

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Appendix 1 TR recommendations and conclusions

Recommendation	Action
1. The functions of the GLA are still necessary and should continue	No action
2. The GLA and Defra should ensure that the scope of the GLA is clearly publicised through appropriate mechanisms and that any changes to the regulations for which it is responsible should be clearly communicated to stakeholders	Completed by Defra exclusion regulations. Action required: need to consider consolidating web text and GLA Brief material into the A-Z
3. Defra will work with the Home Office and other Departments to consider the sponsorship of the GLA alongside the development of the Modern Slavery Bill	Completed
4. The GLA should continue to implement the recommendations from the Red Tape Challenge and continue to identify further opportunities for reducing financial and administrative burdens on compliant businesses.	Ongoing (work on ER, PR changes live on 1/6/14, risk based AI implemented, longer licences subject to (a) fee review and (b) LAWS functionality)
5. The GLA should continue to explore opportunities for securing alternative funding and develop a plan for investigating and implementing these opportunities over the next 12 months	Ongoing (on migration plan) discussions continuing to develop commercial trading fund status for training to supply chains on forced labour
6. The GLA remains the right body for delivering its functions of licensing and enforcement and should remain an NDPB.	No action required
7. The documents governing the relationship between GLA and Defra should be reviewed and updated by 31 March 2014	Ongoing – migration plan: SLA for enforcement to be transferred to HO, other agreements to similarly feature on the migration plan
8. Following Defra bringing forward secondary legislation to reform the size and structure of the GLA Board, the GLA should develop and agree proposals for a future Board structure which significantly reduces the size of membership; adheres with Cabinet Office principles of good practice; and follows appointment processes that are OCPA compliant	Ongoing: HO sponsor unit responsibility re statutory instrument Board to consider structure, and sub-groups
9. The GLA should develop mechanisms for effective communication and engagement with stakeholders that feed in to the Board decision-making considerations.	Ongoing - Dependant on Board structure, and decisions on liaison committees, developing from Board paper: 41/10 Board – action on 41/10 was: “Responses are sought by 30 April 2014. The draft paper to the new Board will be discussed at the next GLA Board

	meeting in 16/07/14 – 1 post-Board view received.
10. The governance mechanisms should be further developed taking in to account stakeholder views and be sufficiently flexible to allow for any necessary adaptations in future.	See recommendation 9